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**NOTICE** 

OF

## **MEETING**



# CABINET TRANSFORMATION SUB COMMITTEE

will meet on

**TUESDAY, 22ND SEPTEMBER, 2020** 

At 6.15 pm

in the

## **VIRTUAL MEETING - ONLINE ACCESS, RBWM YOUTUBE**

TO: MEMBERS OF THE CABINET TRANSFORMATION SUB-COMMITTEE

Councillor Johnson, Leader of the Council and Chairman of Cabinet, Business, Economic Development and Property

Councillor Carroll, Deputy Chairman of Cabinet, Adult Social Care, Children's Services, Health and Mental Health (CHAIRMAN)

Councillor Clark, Transport and Infrastructure

Councillor Hilton, Finance and Ascot

Councillor McWilliams, Housing, Communications and Youth Engagement

Councillor Stimson, Climate Change, Sustainability, Parks and Countryside

Karen Shepherd - Democratic Services Manager - Issued: 14/09/2020

Members of the Press and Public are welcome to attend Part I of this meeting. The agenda is available on the Council's web site at <a href="https://www.rbwm.gov.uk">www.rbwm.gov.uk</a> or contact the Panel Administrator **David Cook** 01628 796560

The Part I (public) section of this virtual meeting will be streamed live and recorded via Zoom. By participating in the meeting by audio and/or video you are giving consent to being recorded and acknowledge that the recording will be in the public domain.

## <u>AGENDA</u>

## PART I

<u>ITEM</u>	SUBJECT	PAGE NO
1.	APOLOGIES FOR ABSENCE	-
	To receive any apologies for absence.	
2.	DECLARATIONS OF INTEREST	5 - 6
	To receive any declarations of interest.	
3.	TERMS OF REFERENCE	7 - 8
4.	CASE STUDY - ADULT SOCIAL CARE	Verbal Report
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## Agenda Item 2

## MEMBERS' GUIDE TO DECLARING INTERESTS IN MEETINGS

#### **Disclosure at Meetings**

If a Member has not disclosed an interest in their Register of Interests, they **must make** the declaration of interest at the beginning of the meeting, or as soon as they are aware that they have a DPI or Prejudicial Interest. If a Member has already disclosed the interest in their Register of Interests they are still required to disclose this in the meeting if it relates to the matter being discussed.

A member with a DPI or Prejudicial Interest may make representations at the start of the item but must not take part in the discussion or vote at a meeting. The speaking time allocated for Members to make representations is at the discretion of the Chairman of the meeting. In order to avoid any accusations of taking part in the discussion or vote, after speaking, Members should move away from the panel table to a public area or, if they wish, leave the room. If the interest declared has not been entered on to a Members' Register of Interests, they must notify the Monitoring Officer in writing within the next 28 days following the meeting.

## Disclosable Pecuniary Interests (DPIs) (relating to the Member or their partner) include:

- Any employment, office, trade, profession or vocation carried on for profit or gain.
- Any payment or provision of any other financial benefit made in respect of any expenses occurred in carrying out member duties or election expenses.
- Any contract under which goods and services are to be provided/works to be executed which has not been fully discharged.
- Any beneficial interest in land within the area of the relevant authority.
- Any licence to occupy land in the area of the relevant authority for a month or longer.
- Any tenancy where the landlord is the relevant authority, and the tenant is a body in which the relevant person has a beneficial interest.
- Any beneficial interest in securities of a body where:
  - a) that body has a piece of business or land in the area of the relevant authority, and
  - b) either (i) the total nominal value of the securities exceeds £25,000 or one hundredth of the total issued share capital of that body  $\underline{or}$  (ii) the total nominal value of the shares of any one class belonging to the relevant person exceeds one hundredth of the total issued share capital of that class.

Any Member who is unsure if their interest falls within any of the above legal definitions should seek advice from the Monitoring Officer in advance of the meeting.

A Member with a DPI should state in the meeting: 'I declare a Disclosable Pecuniary Interest in item x because xxx. As soon as we come to that item, I will leave the room/ move to the public area for the entire duration of the discussion and not take part in the vote.'

Or, if making representations on the item: 'I declare a Disclosable Pecuniary Interest in item x because xxx. As soon as we come to that item, I will make representations, then I will leave the room/ move to the public area for the entire duration of the discussion and not take part in the vote.'

## **Prejudicial Interests**

Any interest which a reasonable, fair minded and informed member of the public would reasonably believe is so significant that it harms or impairs the Member's ability to judge the public interest in the item, i.e. a Member's decision making is influenced by their interest so that they are not able to impartially consider relevant issues.

A Member with a Prejudicial interest should state in the meeting: 'I declare a Prejudicial Interest in item x because xxx. As soon as we come to that item, I will leave the room/ move to the public area for the entire duration of the discussion and not take part in the vote.'

Or, if making representations in the item: 'I declare a Prejudicial Interest in item x because xxx. As soon as we come to that item, I will make representations, then I will leave the room/ move to the public area for the entire duration of the discussion and not take part in the vote.'

## **Personal interests**

Any other connection or association which a member of the public may reasonably think may influence a Member when making a decision on council matters.

Members with a Personal Interest should state at the meeting: 'I wish to declare a Personal Interest in item x because xxx'. As this is a Personal Interest only, I will take part in the discussion and vote on the matter.



## A2.15 Cabinet Transformation Sub-Committee

## **Purpose**

Cabinet has delegated to the Sub-Committee the following functions:-

To approve the Transformation Strategy and any subsequent amendments.

To approve any projects within the Strategy that would require Cabinet approval.

To monitor progress on the delivery of the Transformation Strategy 2 From time to time to report progress to Cabinet

**Membership** The Sub Committee comprises the Leader of the Council and the Lead Members for: Adult Social Care, Children's Services, Health & Mental Health, Transport & Infrastructure, Finance & Ascot, Housing, Communications & Youth Engagement, Environmental Services, Climate Change, Sustainability, Parks & Countryside

The Sub Committee will be chaired by the Lead Member for Adult Social Care, Children's Services, Health and Mental Health

#### Quorum

The quorum for the Sub-Committee shall be 2. Any Cabinet Member may act as substitute for the substantive Cabinet members identified above.

Frequency Quarterly, with additional meetings as required

Type Committee of Cabinet



Report Title:	RBWM Transformation Strategy 2020- 25
Contains Confidential or Exempt Information?	No - Part I
Lead Member:	Councillor Carroll, Lead Member for Adult Social Care, Children Services, Health and Mental Health
Meeting and Date:	Cabinet Transformation Sub-Committee - 22 September 2020
Responsible Officer(s):	Hilary Hall, Director of Adults, Health and Commissioning; Dan Brookman, Transformation and Systems Manager
Wards affected:	All



## **REPORT SUMMARY**

- 1. The council is here to serve, safeguard and represent the interests of its residents and communities. At the same time the opportunity to radically rethink our strategies has been given to us through the Covid pandemic. Continuing to deliver the best possible service whilst innovating and transforming the offer for and with our communities will enable us to benefit from strategic changes in, for example, the economy, the environment or Government action.
- 2. The Transformation Strategy, see appendix A, is the council's response to the challenges around its financial position and the delivery of radical change. The Strategy sets out a long-term vision and aims for the council and focuses transformation around six key areas: finance, culture, prevention, process redesign.
- 1. DETAILS OF RECOMMENDATION(S)

**RECOMMENDATION: That Cabinet Transformation Sub-Committee notes** the report and:

- i) Approves the RBWM Transformation Strategy 2020-25
- ii) Requests officers to develop and bring forward an action plan to deliver the strategy.
- 2. REASON(S) FOR RECOMMENDATION(S) AND OPTIONS CONSIDERED
  Options

Table 1: Options arising from this report

Option	Comments
	This will allow the council to be clear
Strategy 2020-25.	with communities, partners and the
	workforce how it is going to deliver
This is the recommended option	innovation and transformation over
	the five year delivery period.

Option	Comments
To reject the recommendations and request a revised strategy is developed for future consideration.	The strategy has been developed with senior officer input. Developing a new strategy will potentially lose momentum and delay progress.
Do not implement a new strategy. (do nothing option)	Not adopting the strategy will not enable the council to maximise the opportunities arising from Covid to reshape the way in which it delivers services.

- 2.1 The council's Interim Strategic Framework approved by Cabinet in July 2020 identified the Transformation Strategy as a key objective for this year. The draft Transformation Strategy, see appendix A, is the council's response to the challenges around its financial position and the delivery of radical change in the way in which it operates.
- 2.2 The Strategy sets out the vision of "building a community centric borough of opportunity and innovation". The way in which the Royal Borough worked to enable, rather than direct, community groups, both structured and unstructured, to respond to the Covid-19 pandemic demonstrated a breadth of innovation and inclusiveness that provides a sound foundation on which to build for the future.
- 2.3 The Strategy sets out three key enablers to deliver that vision:
  - Transforming our services by developing new community centric ways of working that empower residents and stakeholders to work alongside us to achieve our vision;
  - Investing our time, energy and resources on our priorities through a commercially minded approach to achieving outcomes,
  - Strengthening our partnership working across the authority and with our partners to ensure everyone is working to the same end of improving outcomes for all.
- 2.4 The Strategy is built around seven key elements. With community at the centre, they are finance; culture; environment, prevention; digital and process redesign. Subject to the Sub-Committee's approval of the Strategy, a detailed action plan will be brought forward to deliver the Strategy

#### 3. KEY IMPLICATIONS

3.1 The key implications of adopting the Transformation strategy will be to formally focus resources and activity on the redesign of council services to deliver innovative and efficient processes for our communities and our organisation.

**Table 2: Key Implications** 

Outcome	Unmet	Met	Exceeded	Significantly Exceeded	Date of delivery
Adopt the	Not	Adopted	Adopted with	Adopted with a	March
Transformation	adopted		a significant	fully	2025
Strategy			efficiency to	community	

Outcome	Unmet	Met	Exceeded	Significantly Exceeded	Date of delivery
			council services.	centric council delivering efficient, innovative and cost effective services.	

## 4. FINANCIAL DETAILS / VALUE FOR MONEY

- 4.1 There are no direct financial implications of the proposed recommendations.
- 4.2 A range of different reports will be brought forward as part of the Transformation strategy outlining actions in support of the new strategic objectives. Each of these reports will include any associated resource implications.

## 5. LEGAL IMPLICATIONS

5.1 The Council has the legal power to draw together a strategy explaining its overall approach to focusing resources on current priorities. It is not a requirement to publish a strategy; however, it is clearly helpful to do so in order to enable both Members and officers to take ownership of their part in its delivery. This is fully in line with our new values and empowers people to deliver against clear objectives.

## 6. RISK MANAGEMENT

6.1 The key risks and mitigations are set out in table 3.

**Table 3: Impact of risk and mitigation** 

Risks	Uncontrolled risk	Controls	Controlled risk
Failure to deliver the Transformation Strategy	MEDIUM	Strong governance in place; identification of key skills, capabilities and capacity to deliver the Strategy	LOW
Long term benefits of Strategy cannot be realised due to financial constraints	MEDIUM	Realistic Medium Term Financial Strategy; tight budget monitoring	MEDIUM

## 7. POTENTIAL IMPACTS

7.1 Equalities. The Equality Act 2010 places a statutory duty on the council to ensure that when considering any new or reviewed strategy, policy, plan,

project, service or procedure the impacts on particular groups, including those within the workforce and customer/public groups, have been considered. An Equality Impact Assessment in relation to the Strategy has been carried out and is published here on the council's website. Individual projects arising from the Strategy will also be subject of assessment.

- 7.2 Climate change/sustainability. There are no direct impacts on the council's climate change strategy arising from this report.
- 7.3 Data Protection/GDPR. Adoption of the Strategy does not involve the processing of any personal data.
- 7.4 Individual projects arising from the Strategy are likely to have impacts for a number of areas of the council, including workforce, property and assets. These impacts will be fully considered as part of the development and design of those projects.

## 8. CONSULTATION

8.1 Consultation on the Strategy has been undertaken with Directors Forum and Heads of Service. Individual projects arising from the Strategy will be subject of full and robust consultation with residents, Members, the workforce and partners as appropriate.

## 9. TIMETABLE FOR IMPLEMENTATION

9.1 Implementation date if not called in: Immediately. The full implementation stages are set out in table 4.

**Table 4: Implementation timetable** 

Date	Details
September 2020	Approval of the Transformation Strategy
October 2020	Development of individual projects arising from the
onwards	Strategy
November 2020	Approval of the action plan
November 2020	Delivery of the approved action plan
onwards	
Quarterly	Progress monitoring undertaken by Transformation
	Sub-Committee

## 10. APPENDICES

- 10.1 This report is supported by one appendix:
  - Appendix 1 Royal Borough of Windsor and Maidenhead Transformation Strategy 2020-25

## 11. BACKGROUND DOCUMENTS

11.1 This report is not supported by any background documents.

## 12. CONSULTATION (MANDATORY)

Name of	Post held	Date	Date
consultee		sent	returned
Cllr Carroll	Lead Member for Adult Social	03/09/20	03/09/20
	Care, Children's Services,		
	Health and Mental Health		
Duncan Sharkey	Managing Director	03/09/20	09/09/20
Russell O'Keefe	Director of Place	03/09/20	
Adele Taylor	Director of Resources/S151	03/09/20	03/09/20
	Officer		
Kevin McDaniel	Director of Children's Services	03/09/20	04/09/20
Hilary Hall	Director Adults,	03/09/20	03/09/20
	Commissioning and Health		
Andrew Vallance	Head of Finance	03/09/20	
Elaine Browne	Head of Law	03/09/20	10/09/20
Mary Severin	Monitoring Officer	03/09/20	07/09/20
Nikki Craig	Head of HR, Corporate	03/09/20	11/09/20
_	Projects and IT		
Louisa Dean	Communications	03/09/20	11/09/20
Karen Shepherd	Head of Governance	03/09/20	

## **REPORT HISTORY**

Decision type:	Urgency item?	To Follow item?	
Non-key decision	No	No	
Report Author: Dan Brookman, Transformation and Systems Manager, 01628 796196			



## Royal Borough Windsor and Maidenhead Transformation Strategy 2020-2025

August 2020

## "Building a borough for everyone – where residents and businesses grow, with opportunities for all"

## Our vision is underpinned by six priorities:

Healthy, skilled and independent residents
Growing economy, affordable housing
Safe and vibrant communities
Attractive and well-connected borough
An excellent customer experience
Well-managed resources delivering value for money

## **CONTENTS**

- 1. Long term vision and aims
- 2. Our core values
- 3. Interim Strategic Framework 2020-2021
- 4. Transforming RBWM: Our Transformation Plan

Finance

Culture

Environment

Prevention

Digital

Process redesign

Community

5. Governance

What if 2020 isn't cancelled?
What if 2020 is the year we've been waiting for?
A year so uncomfortable, so painful, so scary, so raw — that it finally forces us to grow.

A year that screams so loud, finally awakening us from our ignorant slumber.

A year we finally accept the need for change.

Declare change. Work for change. Become the change. A year we finally band together, instead of pushing each other further apart.

2020 isn't cancelled, but rather the most important year of them all.

Leslie Dwight -

### LONG TERM VISION AND AIMS

#### Our vision

BUILDING A COMMUNITY CENTRIC BOROUGH OF OPPORTUNITY AND INNOVATION

The Royal Borough is here to serve, safeguard and represent the interests of its residents and communities. At the same time the opportunity to radically rethink our strategies has been given to us through the pandemic. Continuing to deliver the best possible service whilst innovating and transforming the offer for, and with, our communities will enable us to benefit from strategic changes in, for example, the economy, the environment or Government action. The council is committed to making a strong council through inclusive and sustainable growth. We will provide trusted leadership and deliver our vision through three enablers:

- Transforming our services by developing new community centric ways of working that empower residents and stakeholders to work alongside us to achieve our vision;
- Investing our time, energy and resources on our priorities through a commercially minded approach to achieving outcomes,
- Strengthening our partnership working across the authority and with our partners to ensure everyone is working to the same end of improving outcomes for all.

The vision and these enablers will drive the longer term aims of the organisation. The approach will cross all new and existing strategies and become embedded into the operational culture.

## Long term aims

In order to build a community-centre borough of opportunity and innovation, the council has identified six long term aims which are derived from a number of key strategies:

- INVESTMENT IN OUR CHILDREN AND YOUNG PEOPLE.
   All our children and young people enjoy the best possible quality of life and are able to reach their full potential. (Frimley ICS Strategy 2020 2025)
- PEOPLE WHO LIVE WELL AND AGE WELL.
   Working with our health and care partners, improve health and wellbeing for all, prevent ill health, promote independence and provide quality personalised care and support for those who need it. (RBWM Adult Social Care Transformation Plan 2020 2025)
- 3. QUALITY HOMES IN THRIVING NEIGHBOURHOODS.
  All residents have access to quality housing, that creates a ladder of housing opportunities and a sense of belonging and wellbeing living in a safe and sustainable neighbourhood. In particular, the Royal Borough of Windsor and Maidenhead is a place where agencies work effectively together to support

those who are, or may become, homeless guided by a focus on prevention and early help. (Homelessness Strategy 2018 – 2023)

## 4. A STRONG AND INCLUSIVE ECONOMY.

Growing an increasingly modern, productive, and fair economy, within the context of the Thames Valley growth corridor, where the benefits of growth are more equitably shared amongst all citizens. (Thames Valley Local Economic Partnership Strategic Economic Plan 2015 – 2021)

## 5. A GREEN AND SUSTAINABLE BOROUGH.

A borough where the community collectively works together to achieve a sustainable future, protecting and enhancing our natural environment and achieving net zero carbon emissions by 2050. (RBWM Environment and Climate Strategy 2020)

6. A CONNECTED AND ACCESSIBLE AUTHORITY WITH QUALITY INFRASTRUCTURE.

Smart, clean, accessible and integrated infrastructure that meets the needs of a modern and productive council, its partners and its residents.

## **Community Centric Design**

Community centric design means moving from experts designing for people, to people designing for themselves. Traditionally, experts design and implement solutions for the people, community or residents. The community centric design leverages creativity within communities to solve their own problems, on the basis that people within communities best understand the problems, obstructions and benefits that can hamper and support change. The experts then become the facilitators and supporters, providing tools, workshops and support in all forms. By undertaking a new design philosophy, our residents will experience:

- A growing, diverse, innovative, collaborative, community centred borough.
- Easy to access information/interaction with the council and its partners.
- Their voice heard and visible in developing services.
- Open and transparent view of the democratic process.
- Outcome based value for money on services.
- Well-looked after in time of need prevention better than cure.
- Strong voluntary and community sector.
- Education and employment opportunities including skills based and vocational.

## **Commercial Approach**

As a council, we know that we cannot continue to fund and deliver services in the way that we do now. We need to do things differently, whilst maintaining our focus on achieving the best outcomes for the authority and protecting our most vulnerable residents and key services.

Commercial skills and approaches need to run through all that we do as part of our commissioning strategy in order to deliver financial and social return. By this, we mean that we will focus on:

- optimising our assets.
- maximising value for money and innovation from contractual relationships.
- considering new and innovative ways of generating income.
- making robust decisions on a consistent basis with evidence and a sound business case.
- considering the whole life cost of policy decisions, including market impact.

The council already has a number of significant commercial activities in place. A new asset management strategy has been agreed that assesses all the council's current commercial and operational assets and determines whether they should be retained, retained and improved, redeveloped or disposed of.

Overall, the council operates a number of alternative service delivery models for a wide range of services and has a very active property trading company. All these arrangements have been reviewed to ensure that they are the best option and all are subject to regular scrutiny and audit. The council's commissioning strategy sets out a clear methodology for reviewing, planning and implementing new commissioning activity and this will continue to be used as the basis for this work.

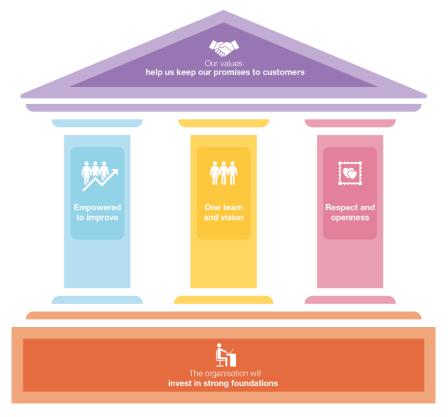
## **Partnership Working**

Working with partners has been the model for the Royal Borough for some time. The commissioning model was introduced to achieve both savings and flexibility of delivery. Both Achieving for Children and Optalis are key examples of this, as Teckal companies operating for the council but with the ability to grow and deliver better outcomes for both residents and staff, affording a more sustainable solution in the long term. Partnership working is delivered at all levels, from joint ventures with individual companies, through to East Berkshire groups, the Frimley Integrated Care System and the Thames Valley Local Resilience Forum – all allowing us to maximise our internal resource.

Ongoing commitment to our key strategic partners will allow us all to engage and work with community groups throughout the borough, delivering much more place-based services where organisations are more integrated and focussed on outcomes rather than the traditional silo'd solutions.

## **OUR CORE VALUES**

Our core values help us keep our promises to our customers.



We know that our workforce is our most important asset – their talent, skills, passion, knowledge and experience are central to all that we do and all that we will achieve. We also know that our councillors are well connected to their communities and can help us create links and partnerships that will strengthen relationships and outcomes.

Our values reflect our culture and help to draw us together as an organisation.

Underpinning our values is a commitment to invest in strong foundations:



Each of our values is supported by a set of behaviours, which govern our actions. How we do things is as important as what we do:



## **INTERIM STRATEGIC FRAMEWORK 2020-2021**

We are clear on our long-term vision, but we recognise that we are in a period of unprecedented challenge. The Covid-19 pandemic has significantly impacted the council, its partners and its communities.

Our Interim Strategic Framework is designed to address the immediate challenges, namely, responding to, and recovering from, the pandemic and positioning ourselves positively for our future ambitions.

## **RBWM Interim Strategic Plan**



## TRANSFORMING THE ROYAL BOROUGH: OUR TRANSFORMATION PLAN Introduction

The Royal Borough has achieved a significant amount over the last few years.

- There have been demonstrable improvements made in Children's Services with the service securing a 'Good' rating from OFSTED after a previous 'requires improvement' judgement.
- A fundamental review and re-establishment of the housing service has seen considerable improvements in performance and practice.
- Work to transform the adult social care functions is underway and has already led to improved practice and process.
- Services with good performance such as the Revenues and Benefits service continue to achieve high standards.
- Customer satisfaction overall remains very high driven by great work from many teams.
- The Royal Borough has become a key player in the Frimley Health and Care Integrated Care System and is leading the work to develop the borough as "place" within the system.
- Progress has also been made in relation to the council's approach to tackling climate change; with the strategy approved by full Council in June 2020.
- Mobile and remote working has significantly increased which is an enabler within the Climate Strategy and essential during Covid-19 lockdown.
- In partnership with Countryside properties, the Royal Borough has begun the regeneration of Maidenhead Town Centre.

With this strong base of excellent performance, the council is in a good position to make a step change, delivering a radical programme of transformation based on technological innovation and a data driven approach.

We want to enhance our key partnerships with a variety of stakeholders and, internally, develop a different culture and flexibility which can set us apart from other local authorities. This shift in culture is designed to enable us to deliver our ambitions and better solutions for our community with a reduction in overall service cost.

Community centric service redesign is at the centre of the council's transformational thinking. Rather than change a service or system based on existing technologies or "how we have always done it", we will focus on the benefit for the customer or community. The move towards communities and partnership, creating a true borough council, will build a working culture of community empowerment and creativity. To do this we need to harness the power and talents of all stakeholders, from councillors and council staff, to our citizens, community groups, businesses and employers.

The transformation plan will evolve as we go forward – seven key elements are at the heart of the plan and an annual delivery plan will identify the deliverables against each element each year.



### **Finance**

Like all local authorities, the Royal Borough has to balance a number of conflicting priorities with the availability of resources. We are facing financial challenges that are greater than ever, having been affected by a global pandemic that has increased the costs of some of our services and reduced our income levels that have supported our usual service delivery.

As a result, we are refreshing our medium-term financial strategy (MTFS) during 2020 together with all the assumptions on which we base our budget. One-off financial support has been received from central government during 2020/21 but the council will have to find sustainable ways to close any gaps for future years, especially given that the way local government is funded will be changing in future too.

It is important that transformation is not seen purely as a way of making savings although by transforming our services we create more efficient use of our resources, including maximising income sources, which can in turn lead to reduced overall costs. True transformation is more likely to result in the financial sustainability of our local authority.

As part of our transformation considerations, we also need to explore options around different ways to generate funding, including supporting our community to identify ways to generate additional external funding.

Making a strong connection between financial information and the outcomes we are looking for will ensure that we are able to prioritise our resources appropriately and improve our overall understanding of true value for money.

Finance sustainability is something that we need to consider not just for the council but also for our residents and businesses. Part of our overall financial sustainability has to be about ensuring that we work closely with all of our partners to identity opportunities to work together to provide the right guidance for those who are financially vulnerable.

## **Organisational Culture**

Our workforce is our greatest asset. It is the dedication and professionalism of our staff that has enabled the council to achieve all that it has over recent years. This transformation journey will be challenging and we need to support our staff throughout it. Through embedding the core values, there will be a much greater emphasis upon:

- developing a working culture that provides collective leadership from the top of the organisation and distributes, enables and encourages leadership throughout the whole organisation, empowering all staff to innovate and make positive contributions;
- interdependency, team working and collective ownership of issues between teams to identify and deliver better outcomes;
- transforming our policies and procedures based on the principle of our "workforce matters", ensuring managers nurture talent and help people meet their potential, investing in learning and development, and supporting flexible working practices;
- effective partnership working with our residents, communities and stakeholders, and
- using digital capability and technology to deliver efficient and effective services.



It is vital that all staff understand the importance of their roles and how they contribute to the success of the council and its communities. We want them to feel empowered to take responsibility for their own engagement and development and for delivering a great service to the citizens of the borough, creating a golden thread running through the entire organisation from strategic aims to individual appraisal.

### **Environment**

The Climate Change strategy was approved by Council in June 2020 and will deliver on the vision of a borough where the community collectively works together to achieve a sustainable future, protecting and enhancing our natural environment and achieving net zero carbon emissions by 2050.

The four key themes of the climate strategy focus action on areas we have control over at a local level:

- 1. Circular Economy
- 2. Energy
- 3. Natural Environment
- 4. Transport

In order to reduce waste, encourage material re-use, increase recycling and support less resource intensive lifestyles, the council will support residents in achieving a circular economy by retaining as much value from products, properties and materials as possible. In order to shift to a circular economy, the council will ensure that all stakeholders are properly supported and guided where needed to create a less wasteful, more sustainable borough.

Currently, sixty six percent of the borough's emissions are a result of energy consumption within buildings. The council will seek to reduce its own energy consumption by decarbonising its energy supplies. Further to this, by investing in digital infrastructure the council will reduce the need for office spaces and continue to promote remote working where possible. Reducing our energy consumption and decarbonising our supply of energy will aid the borough's net zero emission ambitions.

Quality of life and the role of the natural environment in creating great places is a critical part of the success of the Thames Valley economy, and to our residents' health and wellbeing. The council will conserve and sustainably deliver biodiversity net gain in order to protect our natural environment and in doing so we will receive ecosystem service benefits such as clean air and water.

By encouraging walking and cycling as well as investing in digital infrastructure, the council will reduce the need for carbon intensive travel. Sustainable travel will be more accessible through the provision of infrastructure within the borough such as cycle routes and electric vehicle charging points which will, in turn, minimise the impacts of road traffic by encouraging cleaner vehicles.

### Prevention

Achieving better outcomes for all residents is a key priority and this means working together in different ways, taking action earlier to prevent the need for more costly crisis interventions, and building on people's strengths and capabilities. Tackling issues in a different way is fundamental to achieving our vision.

The council remains firmly committed to helping our most vulnerable citizens and especially those in crisis, such as people who are homeless, or families who need support to make sure their children get the best start in life. Too often, we find ourselves providing support at the point of crisis where it is harder to achieve the right outcomes and always more expensive. Working with our health partners, schools, charities and businesses, we will put a greater emphasis upon prevention and early intervention and focus our resource on where it can achieve the most impact by working alongside those we are supporting in order to do what is best for them as individuals.

This means providing support at the right time, when it will achieve better outcomes and will save money in the long term. For example, in terms of homelessness, for some people providing immediate housing support is critical to keeping them safe. That can be expensive and does not always tackle the underlying causes of someone becoming homeless. Providing support earlier, focused on the real risk factors such as unemployment, domestic violence, mental health issues, or alcohol and drug abuse can be more successful and is much more cost effective.

Providing good quality social care for older people and learning and physically disabled people sits at the core of our role as a local authority. We want to support people to be as independent as possible, as early as possible and for as long as possible so they can do as much as they can for themselves with support when they need it. We want to make the most of the many health and wellbeing benefits that come from being close to friends, family and the local community, such as improved mental health and reduced loneliness and isolation.

## Digital

Maximising the use of digital technologies and information will be central to successfully delivering the transformation programme and securing the future success of the Royal Borough. The council will use digital technologies to deliver better quality services that are more responsive and convenient to use, that are more intelligent and more efficient, and give people access to services they might not have been able to take advantage of before. We will engage in a digital capability programme with support from local businesses to ensure that no one is left behind.

With the increase in the use of The Internet of Things (IoT) in everyday life for both the consumer market and business, the use of big data analytics will give us a fundamental change to the way we support and work with our communities. Using data securely and ethically will be key to these developments but innovation and efficiency in the use of new data insights and digital technologies will drive the council forward.

Implementing our new Customer Relationship Management (CRM) solution is the first step in using digital platforms to become more community centric. We will enhance this investing in a Low Code¹ solution to enable service areas to quickly build solutions for both staff and residents, linking back to the CRM. We will continually look for new ways to use digital to simplify processes and make services more efficient, effective, customer focussed, accessible and responsive. This will support our citizens and communities to be more independent and in control of the services they need and will help to promote fairness and inclusion.

The council will take a "Digital First" approach by making all services, where possible, accessible online. It is important that all council functions are more digitally accessible so that people can interact with us and use self-service options at any time of the day or night. In this way, we can focus our human resource to support those in greatest need, including continuing to provide assisted digital support where it is needed. The Royal Borough is setting digital goals that will enable each resident to have their own account, as well as the ability to access an individualised website or app for a single view of themselves and their interactions with the council.

Our workforce must also have the right tools, technology and skills to deliver services to residents effectively, in a flexible and person-centred way. As well as working within communities, we will also develop our workforce's digital capabilities, while maximising the use of digital and technology to deliver effective services, further improving productivity and efficiency allowing us to focus more resources on progressing other priorities. Better use of digital technology will help us pinpoint people who need support before they fall into crisis.

Data underpins all of the council's transactions. We will encourage data sharing between our partners to help us to understand, predict and prevent problems from

<sup>&</sup>lt;sup>1</sup> A low-code development platform is software that provides a simple development environment for individuals to create application software instead of traditional hand-coded computer programming.

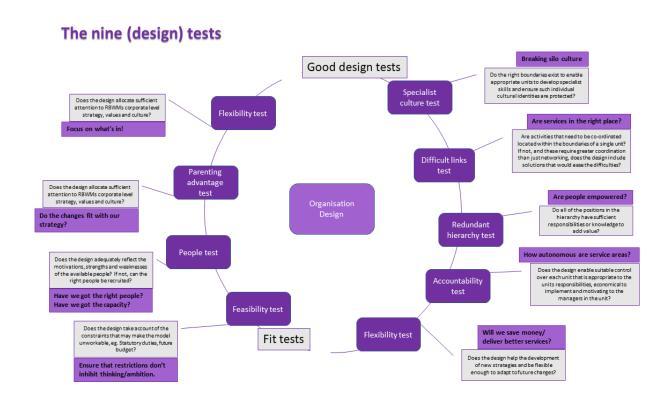
occurring, make better decisions and use resources more intelligently to improve outcomes for residents.

We will also use digital technology to grow the economy by supporting new and existing businesses to harness the value of digital. We will empower our residents so they can make the most of the opportunities that digital offers and are able reach their full potential. Key to this work will be enabling an ethical approach to artificial intelligence and machine learning, making use of technologies such as robotic process automation (RPA) and embedding this into process redesign.

## **Process Redesign**

Operating on the basis of a commonly agreed and understood set of business processes is key to an efficient and effective organisation. To maximise the benefits of digital innovation will require a fundamental focus on streamlining, modernising and automating council processes. We will create a blueprint for teams to follow and own, based on an iterative process of service redesign, supported by the transformation team. The process must be community focussed whilst looking at new ways of delivering using new and alternative solutions. Heads of Service will drive the change rather than having redesign done to them.

We want all staff to understand how their service is funded, where the money is spent and be engaged in the process of coming up with ideas for how income could be generated or cost reduced. To do this we will need to support them with the right information and the right skills development.



## Community

At the heart of our Transformation Plan is a major Community Conversation programme.

The conversation will explore how we can collectively work towards achieving fairness and inclusive growth. It will explore how we can make our services more responsive to the needs of local communities and how communities can be supported to do more for themselves. We will invite residents and communities to share their ideas on how we can empower them to take a more active role in shaping the delivery of services and how we can harness their knowledge, capacity and expertise to improve the lives of people in all areas of the borough.

The conversation provides a real opportunity for radical thinking that could result in a fundamentally new relationship, involving shared decision making, co-production and innovation in service delivery. It will need a commitment from both sides to work together to make the borough stronger and fairer and a better place for everyone. For our part, we will make sure that we set local priorities to reflect the identified needs of neighbourhoods and communities and ensure that local councillors play an effective role in building this new relationship.

We will support communities to become more resilient and independent, providing high quality services in line with local priorities and helping communities take greater responsibility for, and control of, the things that really impact on their quality of life.



The work of the local authority, voluntary sector groups, volunteers and the community has been relentless. As an authority we have quickly had to build and

strengthen those relationships into solid delivery partnerships to meet the needs of local vulnerable people and have experienced a resurgence of local 'activism'. The Royal Borough has the opportunity to embrace the work and to go further and experiment with digitally-enabled, and local community innovations. The successes achieved through the COVID response, set out in the table below, can be built on to create a long term legacy.

COVID Response	RBWM Legacy
Rapid connection with voluntary and	Consideration of new operating models
community sector and communities	especially around commissioning where
including spontaneous groups	the borough become the matchmaker, incentiviser,
Quick adaptation of existing services to	Develop more strategic partnerships
meet new demands and new ways of	with the private, voluntary and
working such as Citizens Advice	independent sector based on each
Bureau.	other's strengths.
Different demographics have	Fundamental reform of existing services
experienced different needs	to target and support local need
Three different approaches towards the	We build digital platforms such as local
use of technologies have been made	apps and databases for communities to
clear: buy, use or build it?	own and use and then create sales
	opportunities to other local authorities.
Engaged comms team into community	Engage comms teams into service
response	response
Joined up partnership work	Join up data with partners and create
	targeted joint projects to support
	prevention

#### GOVERNANCE

Governance of the transformation programme, in line with Our Values, will work from the principles of:

- All work will be transparent and open.
- Decisions will be made in line with delegations within the Constitution, but most decisions should be made at team level or as close to the team as possible.
- When a decision impacts more than one team, those teams are responsible for discussing and agreeing what to do between them
- Where a decision impacts the whole council, corporate leadership team are responsible for discussing and agreeing what to do.

Running an agile transformation programme requires:

- the required remit, power, authority and support to make the decisions that need to be made, and implement them,
- a team of people with enough time, energy and skills to do the things that really need to be done, and
- a place to work that can, at least to some extent, be adapted to meet its needs

## **Transformation Sub-Committee**

The transformation strategy and accompanying action plan will be overseen by the Transformation Sub-Committee of Cabinet, working with the Directors Forum. Meeting quarterly, the Sub-Committee will be chaired by the Lead Member for Adult Social Care, Children's Services, Health and Mental Health and has delegated authority from Cabinet to:

- Approve the transformation plan and any subsequent amendments.
- Approve any projects within the plan that would require Cabinet approval.
- Monitor progress on the delivery of the plan.
- Report progress to Cabinet from time to time.

A Senior officer board will act as the virtual programme management office and will sign off and have oversight of all projects relating to the transformation programme. The transformation team, under the leadership of the Transformation and Systems Manager, will support the delivery of the plan.

## **Delivery Model**

Any new project within the transformation plan must meet the criteria set out for change:

- Its design, development and execution will be community centric
- It will deliver an efficiency –in terms of service and/or resource
- It will be innovative

All projects will in the main follow the Government Digital Service Standard and each project will have a costed business case but using agile methodologies wherever possible.

In line with Our Values, the ethos of the delivery of projects in the plan will be to:

- Keep a good pace
- Manage risks
- Do it with the right people
- · Go see for yourself
  - It's everyone's responsibility to stay well informed. Delivery teams talk face to face wherever possible and the best way to measure progress is to 'see the thing'.
- Report and plan
- Only do it if it adds value

In support of this programme of work, a review of existing procurement strategies and the most suitable routes for procurement will be undertaken. Wherever possible, the Royal Borough will use existing government frameworks to expedite the procurement process.

#### **Transformation Team**

The existing core transformation team has been recruited on the basis of the skills and competencies required to support transformation, specifically:

- Project management
- Community development
- Consultation and engagement
- Performance, data, systems and analytics

In order to deliver corporate transformation, the team will leverage the support and input of a wider range of teams in the council, including IT, communications and marketing, digital development and property. It will also engage with a delivery partner to facilitate the digital transformation of the council and its processes.

The team will need the ability to flex and increase capacity in order to deliver elements of the plan in line and costed as part of individual project business cases.

Everyone engaged in delivery of the transformation programme at all levels is responsible for and involved in its governance. Agile tools and techniques (daily stand-ups, regular planning meetings and retrospectives) are all ways of governing delivery.

# Agenda Item 6

Report Title:	Innovation Mandate
Contains Confidential or	No - Part I
Exempt Information?	
Lead Member:	Councillor Carroll, Lead Member for Adult
	Social Care, Children Services, Health and
	Mental Health
Meeting and Date:	Cabinet Transformation Sub Committee -
	22 September 2020
Responsible Officer(s):	Hilary Hall, Director of Adults, Health and
	Commissioning; Dan Brookman
	Transformation and Systems Manager
Wards affected:	All



#### **REPORT SUMMARY**

- 1. This report requests agreement for Cabinet members and Directors to attend a series of three workshops led by iESE ltd. The objective of the workshops will be to bring a common language and concepts for transformation against an established framework, to get a common view of where the authority is, where it wants to be and any 'red lines' that are no go areas either in what is done or how it is done.
- 2. As a not for profit company, the commission will be pro bono for the benefit of local government.

#### 1. DETAILS OF RECOMMENDATION(S)

**RECOMMENDATION:** That Cabinet Transformation sub-committee notes the report and:

i) Approves for the Innovation Mandate to take place at the earliest opportunity.

# 2. REASON(S) FOR RECOMMENDATION(S) AND OPTIONS CONSIDERED Options

Table 1: Options arising from this report

Option	Comments
Approve the Innovation Mandate This is the recommended option	This will establish the language of corporate transformation for the council, giving a clear message to residents, partners and the workforce.
Not to agree to the innovation mandate sessions. (Do nothing option)	Not having an agreed set of language, red lines or definitions at the outset of the transformation strategy will create potential blocks and problems during its delivery.

2.1 The Innovation Mandate workshops follow a methodology that has been used by iESE Ltd in a number of local authorities. The workshops have been designed to be delivered via Teams/Zoom. The model will enable Members and officers to objectively judge where we are overall (there will be variation within the authority), provide a structure to compare this to others and give clarity to the aspiration of where the Royal Borough wants to transform. This allows the authority to form judgements over any current or planned transformation programme.

#### 3. KEY IMPLICATIONS

3.1 The key implications are set out in table 2.

**Table 2: Key Implications** 

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Outcome	Unmet	Met	Exceeded	Significantly Exceeded	Date of delivery
Accept and attend the Innovation Mandate workshops	Inconsisten t approach to transformat ion	Consistent approach to transformati on agreed	n/a	n/a	Sept 2020

#### 4. FINANCIAL DETAILS / VALUE FOR MONEY

4.1 There are no financial implications arising from the recommendations of this report as delivery of the Innovation Mandate workshops will be completed on a pro bono basis.

#### 5. LEGAL IMPLICATIONS

5.1 There are no legal implications. The council has the power to carry out such workshops in support of its transformation strategy.

#### 6. RISK MANAGEMENT

6.1 The key risks and mitigations are set out in table 3.

Table 3: Impact of risk and mitigation

Risks	Uncontrolled risk	Controls	Controlled risk
Different views are held by Cabinet members and directors about transformation	MEDIUM	Use of the Innovation Mandate workshop to tease out issues and seek resolution	LOW

#### 7. POTENTIAL IMPACTS

- 7.1 Equalities. None.
- 7.2 Climate change/sustainability. None.
- 7.3 Data Protection/GDPR. None.

#### 8. CONSULTATION

8.1 None.

#### 9. TIMETABLE FOR IMPLEMENTATION

9.1 Implementation date if not called in: Immediately.

#### 10. APPENDICES

- 10.1 This report is supported by one appendix:
  - Appendix A Innovation Mandate

#### 11. BACKGROUND DOCUMENTS

11.1 None

#### 12. CONSULTATION (MANDATORY)

Name of consultee	Post held	Date sent	Date returned
Cllr Carroll	Lead Member for Adult Social Care, Children Services, Health and Mental Health	03/09/20	03/09/20
Duncan Sharkey	Managing Director	03/09/20	09/09/20
Russell O'Keefe	Director of Place	03/09/20	
Adele Taylor	Director of Resources/S151 Officer	03/09/20	03/09/20
Kevin McDaniel	Director of Children's Services	03/09/20	04/09/20
Hilary Hall	Director Adults, Commissioning and Health	03/09/20	03/09/20
Andrew Vallance	Head of Finance	03/09/20	
Elaine Browne	Head of Law	03/09/20	10/09/20
Mary Severin	Monitoring Officer	03/09/20	07/09/20
Nikki Craig	Head of HR, Corporate Projects and IT	03/09/20	11/09/20
Louisa Dean	Communications	03/09/20	11/09/20
Karen Shepherd	Head of Governance	03/09/20	

#### **REPORT HISTORY**

Decision type:	Urgency item?	To Follow item?	
Non-key decision	No	No	
Report Author: Dan Brookman, Transformation and Systems Manager, 01628 796196			

#### **Appendix A Innovation Mandate**

# Royal Borough of Windsor and Maidenhead Council

Proposal to support the implementation of the Council's organisational redesign initiatives

24/07/2020

### Purpose of this Document

This document outlines the requirements for supporting RBWM Council's Transformation Programme, specifically the Innovation Mandate Workshop.

## Our Approach

The Innovation Mandate is one of the key building blocks in the transformation of local public services, particularly in local authorities where member leadership is a key stone. It is used prior to a transformation or as a sense check on progress and collective understanding. It checks or establishes parameters for transformation, whether that transformation is a single service or the total redesign of the organisation.

The core of the Innovation Mandate is a workshop, the objective of which is to:

- bring a common language and concepts for transformation against an established framework
- to get a common view of where the authority is, where it wants to be
- and any 'red lines' that are no go areas either in what is done or how it is done.

The workshop conducts a range of exercises using the iESE 'Transformation Model'. The model has been built from a structured analysis of over 100 councils transformation activity over the last 5 years and their plans for transformation over the next 5 years. The workshop can be targeted at members or officers of a combination of the two.

The model is based upon the research that iESE undertook in publishing its White Paper 'From Surviving to Thriving'. The model has subsequently evolved through various generations of research.



Figure 1: iESE Transformation Model

The model comprises three levels or modes of operation of an authority, the essence of how they operate, what you need to do to make each mode of operation more efficient and what you need to do to transform from one level to the next.

Using the model, you can objectively judge where an authority is overall (there will be variation within the authority), provide a structure to compare this to others and give clarity to the aspiration of where the authority wants to transform too. This allows the authority to form judgements over any current or planned transformation programme.

#### **Project Outline**

The innovation mandate will take place virtually.

The first activity will comprise of initial interviews with the participants to understand their motivations and priorities for the council. During these conversations, we will also ensure that everyone can use Slido and Teams or Zoom.

The second activity is to give out homework to all participants. This will be the basis for the three sections of the iESE Transformation Model.

The third activity is the workshop. Participants come together virtually to discuss their understanding of the levels of transformation, compared to their aspiration. The group will discuss the three types of local authority transformation at the service, customer, and community levels.

The fourth activity is undefined at this stage but is often a conversation around next steps.

#### Scope

There are approximately 15 delegates comprising the cabinet and senior leadership team.

#### **Activity**

RBWM to organise the date for the main workshop and provide a list of participants and their contact details. RBWM will also make them aware of the workshop and the need for commitment to time scales.

iESE will book the individual 1 to 1 meetings, design and deliver the workshop, and give feedback and suggestions.

#### About iESE

iESE is wholly owned by public bodies. The councillors who sit on the board and oversee governance are drawn from its members. iESE's Mission is to 'Support our Public Sector to thrive' and our philosophy is based on creating 'capability and not dependency'.

Our clients often mention iESE's independent insight and 'honest broker' approach as being reasons why they like working with us.

There is more information about iESE on our website: www.iese.org.uk/aboutus.

# **Quality Assurance and Monitoring**

The iESE team works to the very highest standards, with each member being experienced as both practitioners and consultants from across the Public and Private sectors.

It is our preference to work entirely collaboratively with our customers, ensuring that clarity is maintained throughout the assignment. We carefully plan and manage our projects, using appropriate tools and methodologies (we are MSP and PRINCE2 trained and accredited) to ensure we manage any risks on delivery times and budgets.

iESE are a Premier Practice of the Institute of Consulting and as such, we commit to work to the Institute's Code of Professional Conduct and Practice. This means we adhere to the standards of competence, honesty, integrity, and other professional behaviours defined in the Code. Individual staff are members of the Institute and have a career

pathway that ensure the development and continuity of skills and qualifications.

# Cost & Payment Terms

As part of the sector support in our position as a not for profit company this commission will be undertaken pro bono for benefit of the sector.

# Appendix 1: iESE Project Team

The team will be a combination of the following members:

#### Andrew Woodward, iESE Business Lead

Andrew is a successful Senior Business Leader, experienced in the delivery of strategic objectives in complex environments. During a 22-year consulting career, he has completed over 100 ICT and digital transformations, major performance improvements, cost reduction and organisational transformations across Europe, America, and Africa. His current interests include the development of cultural alignment and engagement across organisations, and executive coaching and mentoring during transformation.

Andrew holds an engineering, management and languages degree from Coventry University and a master's in organisational consulting from Ashridge Business School. He is a member of the Association for Coaching.

#### Dr Andrew Larner, iESE Chief Executive

With more than 25 years' experience in improving public services, iESE's Chief Executive is an acclaimed transformation expert, not just in the UK but internationally, with a breadth of experience working with local authority models around the world.

During the past 15 years he has led the growth of iESE from a small social enterprise start-up to the sector's leading transformation partner, working with hundreds of authorities around the UK, and supporting the delivery of more than £1billion in savings.

#### Sherif Attia, iESE Consultant

Sherif is a true member of the local government family, as well as working for iESE, he joined us from Kent County Council, where he worked on transformation in Adult Social Care.

Among other strengths, Sherif's skillset includes process redesign and business analysis. He has been using his experiences and talents to work with Councils to improve how services are delivered to customers and to create new tools to digitise some of these processes. He is passionate about what digital transformation can offer the sector and how technology can help us to support and enable change.

# Appendix 2: Terms & Conditions

#### Charges and payment

The Charges for the Services and the date or dates on which they are payable are set out in the accepted Proposal (as appended in Annex 1) and Purchase Order; VAT and expenses are charged, mileage @50p per mile, reasonable subsistence. Unless otherwise provided in the Purchase Order, the Supplier may invoice the Charges at any time on or after whichever is the later of acceptance of the Deliverables or completion of the Services. The Client shall pay the Charges as invoiced within 30 [thirty] days of receipt of the invoice.

#### Variation of the Services

In the event of a Variation iESE reserves the right that Charges may also be varied to fairly reflect the nature and extent of the Variation in all the circumstances. In an instance where The Client requires extending the number of days work detailed in the proposal the applicable day rates will apply (£850 for a Senior Business Consultant and £650 for a Business Consultant), provided that the same resource type is to be deployed.

#### Intellectual Property Rights

The Client agrees that iESE shall retain the legal and beneficial title to all rights in any interventions, improvements, processes, formulae, materials, know how, designs, models, prototypes, computer programmes and/or coding, sketches, drawings, plans or other original matters (herein together referred to as 'Intellectual Property', whether or not capable of protection by letter, patent, registered design copyright, service mark, trade mark, copyright or other form of protection of intellectual property which iESE created, devised, developed or was provided by iESE in the course of providing the service – save for Intellectual Property provided by iESE belonging to third parties and the advice in writing, including associated tables, diagrams and figures ("the Reports") resulting from the services provided.

The Client and iESE shall jointly own and have free use of the Reports, save that iESE will not release the Reports to third parties in whole or part without prior written consent of The Client or unless the released information does not identify The Client. iESE warrants that it is licensed to use any third-party Intellectual Property it provides for the purpose of providing the services.

iESE agrees that the Client shall retain the legal and beneficial title to the Intellectual Property that it provides to iESE for the purposes of the services described in this proposal, with the exception of third-party Intellectual Property provided by the Client. The Client warrants any third-party Intellectual Property it provides can be used by iESE for the purposes of providing its services. The Client Grants a royalty free licence for iESE to use the Intellectual Property it provides.

iESE asserts its moral rights to be recognised as the author of the original works created, devised or developed in connection with this agreement including the Reports.

#### Indemnity

iESE will indemnify and keeps indemnified, within the Limits, The Client against all the costs, claims, actions, losses, damages and expenses including but not limited to legal costs and disbursements which may be incurred by The Client

Reason of any negligence default (whether deliberate or otherwise) or any act or omission amounting to a breach of contract by any employee or agent of iESE assigned to undertake any work for The Client under this proposal.

Reason of any employment related claim or any claim based upon worker status (including reasonable costs and expenses) brought by any person employed or engaged by iESE to deliver the services in this proposal against The CLIENT or the CUSTOMER arising out of or in connection with the provision of the services.

The Limits indemnity are Public Liability £10 million, Professional Indemnity £1 million.

#### **Publicity**

The Supplier and Council shall be entitled to publicise the Contract or any Purchase Order (or any information concerning either) for any reason and both parties shall be required to seek the prior consent of the other party and shall ensure the observance of the provisions of this Condition by its Representatives.